

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(s): Chan et al.

SERIAL NO.: 09/894,186

ART UNIT:

FILING DATE: 6/28/2001

EXAMINER:

TITLE: LIQUID PHASE EPITAXY

ATTORNEY

DOCKET NO.: 774-010087-US (PAR)

BOX MISSING PARTS

Commissioner of Patents

Washington, D.C. 20231

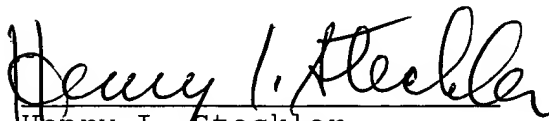
COMMUNICATION TO THE EXAMINER

Sir:

This is in response to the Notice to File Missing Parts dated August 17, 2001.

Enclosed is a Declaration from the inventors attached to a copy of the specification. Please note that this is NOT a substitute specification. It is enclosed to show that the Declaration, which was executed before receiving the filing date and serial number of this application, is for this application.

Respectfully submitted,


Henry I. Steckler

Reg. No. 24,139

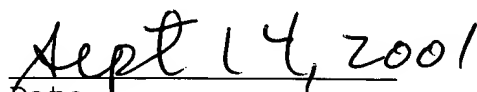
PERMAN & GREEN, LLP

425 Post Road

Fairfield, CT 06430

(203) 259-1800

Customer No.: 2512


Date

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date indicated below as first class mail in an envelope addressed to the Commissioner of Patents, Washington, D.C. 20231.

Date: 9/14/01

Signature: Denise Spaulding
Person Making Deposit

H A

Seeta

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
774-010087-US (PAR)

In Re Application Of: Chan et al
SEP 17 2001

Serial No.
09/894,186

Filing Date
6/28/2001

Examiner

Group Art Unit

Invention:

LIQUID PHASE EPITAXY

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
8/17/2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

A Declaration and Power of Attorney attached to a copy of the specification (which is NOT a substitute) executed by the inventors including residence information.

Communication to the Examiner.

8 pages of drawings with corrected margins.

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
774-010087-US (PAR)

In Re Application Of: **Chan et al.**

Serial No.
09/894,186

Filing Date
6/28/2001

Examiner

Group Art Unit

Invention:

LIQUID PHASE EPITAXY

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

- ☐ Utility application filing fee _____
- ☐ Design application filing fee _____
- ☐ Total number of independent claims = _____
- ☐ Total number of claims = _____
- ☐ Multiple dependent claims _____
- ☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**
- ☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____
- ☐ Fee for processing an application filed with a non-English language specification _____
- ☐ Fee for processing and retention of application _____

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
774-010087-US (PAR)

In Re Application Of: **Chan et al.**

Serial No.
09/894,186

Filing Date
6/28/2001

Examiner

Group Art Unit

Invention:

LIQUID PHASE EPITAXY

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

TOPTO-987654

The fee of **\$130.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **16-1350**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **16-1350**
A duplicate copy of this sheet is enclosed.


Signature

Henry I. Steckler
Reg. No. 24,139
PERMAN & GREEN, LLP
425 Post Road
Fairfield, CT 06430
Customer No. 2512
(203) 259-1800

Dated:

Sept 14, 2001

I certify that this document and fee is being deposited on
9/14/01 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence

Denise Spaulding

Typed or Printed Name of Person Mailing Correspondence

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/894,186	06/28/2001	Yuen Chuen Chan	774-010087-US(PAR)

2512
PERMAN & GREEN
425 POST ROAD
FAIRFIELD, CT 06430

CONFIRMATION NO. 5310

FORMALITIES LETTER



OC000000006443724

Date Mailed: 08/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

38/19/2001 BABRAHA1 00000118 09894186

01 FD-105

130.00 DP

*A copy of this notice **MUST** be returned with the reply.*

Christy Young

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09894185-1091701
FOR SET 1680